

# A Tableau Algorithm for DLs with Concrete Domains and GCIs

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## Abstract

We identify a general property of concrete domains that is sufficient for proving decidability of DLs equipped with them and GCIs. We show that some useful concrete domains, such as a temporal one based on the Allen relations and a spatial one based on the RCC-8 relations, have this property. Then, we present a tableau algorithm for reasoning in DLs equipped with such concrete domains.

## 1 Introduction

In many relevant applications of description logics (DLs) such as the semantic web and reasoning about ER and UML diagrams, there is a need for DLs that are equipped with both concrete domains and general concept inclusions (GCIs) [2, 5, 11]. Unfortunately, combining concrete domains with GCIs easily leads to undecidability. For example, it has been shown in [14] that the basic DL  $\mathcal{ALC}$  extended with GCIs and a concrete domain based on the natural numbers and providing for equality and incrementation predicates is undecidable. More information can be found in the survey paper [12].

In view of this discouraging result, it is a natural question whether there are *any* useful concrete domains such that, when used with a DL providing for GCIs, reasoning remains decidable. A positive answer to this question has been given in [13] and [10], where two such well-behaved concrete domains are identified: a temporal one based on the Allen relations and a numerical one based on the rationals and equipped with various unary and binary predicates such as “ $\leq$ ”, “ $>_5$ ”, and “ $\neq$ ”. Using an automata-based approach, it is shown in [13, 10] that reasoning in the DLs  $\mathcal{ALC}$  and  $\mathcal{SHIQ}$  extended with these concrete domains and GCIs is decidable and EXPTIME-complete.

The purpose of this paper is to elaborate on the existing decidability results. Our contribution is two-fold: first, instead of focussing on particular concrete domains as in previous work, we identify a *general* property of concrete domains,

called  $\omega$ -admissibility, that is sufficient for proving decidability of DLs equipped with concrete domains and GCIs. For defining  $\omega$ -admissibility, we concentrate on a particular kind of concrete domains that we call *constraint systems*. Roughly, a constraint system is a concrete domain that only has binary predicates, and these predicates are interpreted as jointly exhaustive and pairwise disjoint (JEPD) relations. We exhibit two example constraint systems that are  $\omega$ -admissible: a temporal one based on the rational line and the Allen relations [1], and a spatial one based on the real plane and the RCC8 relations [4, 16]. The proof of  $\omega$ -admissibility turns out to be relatively straightforward in the Allen case, but is somewhat cumbersome for RCC8.

Second, for the first time we develop a tableau algorithm for DLs admitting both concrete domains and GCIs. This algorithm is used to establish decidability of  $\mathcal{ALC}$  equipped with  $\omega$ -admissible concrete domains and GCIs. As state-of-the-art DL reasoners such as FaCT and RACER are based on tableau algorithms similar to the one described in this paper [8, 7], we view our algorithm as a first step towards an efficient implementation of description logics with ( $\omega$ -admissible) concrete domains and GCIs. Our decidability result reproves the decidability of  $\mathcal{ALC}$  with GCIs and the Allen relations from [13], and, as a new result, establishes decidability of  $\mathcal{ALC}$  with GCIs and the RCC8 relations as a concrete domain.

This paper is accompanied by a technical report containing full proofs [15].

## 2 Constraint Systems

We introduce a notion of *constraint system* that is intended to capture standard constraint systems based on a set of jointly-exhaustive and pairwise-disjoint (JEPD) binary relations.

**Definition 1 (Constraint System).** Let  $\text{Var}$  be a countably infinite set of variables and  $\text{Rel}$  a finite set of binary relation symbols. A *Rel-constraint* is an expression  $(v \ r \ v')$  with  $v, v' \in \text{Var}$  and  $r \in \text{Rel}$ . A *Rel-network* is a (finite or infinite) set of *Rel-constraints*. For  $N$  a *Rel-network*, we use  $V_N$  to denote the variables used in  $N$ . We say that  $N$  is *complete* if, for all  $v, v' \in V_N$ , there is exactly one constraint  $(v \ r \ v') \in N$ .  $N$  is a *model of a network*  $N'$  if  $N$  is complete and there is a mapping  $\tau : V_{N'} \rightarrow V_N$  such that  $(v \ r \ v') \in N'$  implies  $(\tau(v) \ r \ \tau(v')) \in N$ .

A *constraint system*  $\mathcal{C} = \langle \text{Rel}, \mathfrak{M} \rangle$  consists of a finite set of binary relation symbols  $\text{Rel}$  and a set  $\mathfrak{M}$  of complete *Rel-networks* (the *models* of  $\mathcal{C}$ ). A *Rel-network*  $N$  is *satisfiable* in  $\mathcal{C}$  if  $\mathfrak{M}$  contains a model of  $N$ .

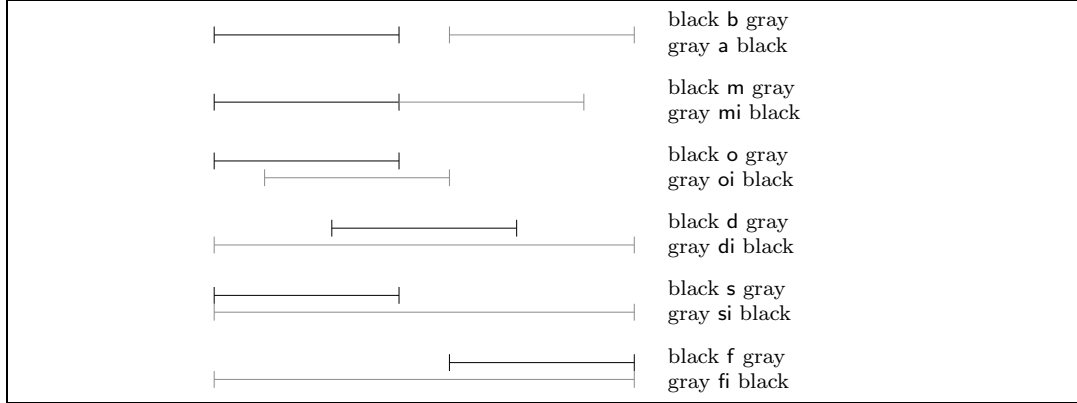


Figure 1: The thirteen Allen relations, equality omitted.

We give two examples of constraint systems: a constraint system for temporal reasoning based on the Allen relations in the rational line, and a constraint system for spatial reasoning based on the RCC8 relations in the real plane. Both constraint systems have been extensively studied in the literature.

In artificial intelligence, constraint systems based on Allen's interval relations are a popular tool for the representation of temporal knowledge [1]. Let

$$\text{Allen} = \{\mathbf{b}, \mathbf{a}, \mathbf{m}, \mathbf{mi}, \mathbf{o}, \mathbf{oi}, \mathbf{d}, \mathbf{di}, \mathbf{s}, \mathbf{si}, \mathbf{f}, \mathbf{fi}, =\}$$

denote the thirteen Allen relations. Examples of these relations are given in Figure 1. As the flow of time, we use the rational numbers with the usual ordering. Let  $\text{Int}_{\mathbb{Q}}$  denote the set of all closed intervals  $[q_1, q_2]$  over  $\mathbb{Q}$  with  $q_1 < q_2$ , i.e., point-intervals are not admitted. The extension  $r^{\mathbb{Q}}$  of each Allen relation  $r$  is a subset of  $\text{Int}_{\mathbb{Q}} \times \text{Int}_{\mathbb{Q}}$ . It is defined in terms of the relationships between endpoints in the obvious way, c.f. Figure 1. We define the constraint system  $\text{Allen}_{\mathbb{Q}} = \langle \text{Allen}, \mathfrak{M}_{\mathbb{Q}} \rangle$  by setting  $\mathfrak{M}_{\mathbb{Q}} := \{N_{\mathbb{Q}}\}$ , where  $N_{\mathbb{Q}}$  is defined by fixing a variable  $v_i \in \text{Var}$  for every  $i \in \text{Int}_{\mathbb{Q}}$  and setting

$$N_{\mathbb{Q}} := \{(v_i r v_j) \mid r \in \text{Allen}, i, j \in \text{Int}_{\mathbb{Q}} \text{ and } (i, j) \in r^{\mathbb{Q}}\}.$$

Whether we use the rationals or the reals for defining this constraint system has no impact on the satisfiability of (finite and infinite) constraint networks.

The RCC8 relations describe the possible relation between two regions in a topological space [16]. In this paper, we use the standard topology of the real plane, one of the most natural topologies for spatial reasoning. Let

$$\text{RCC8} = \{\text{eq}, \text{dc}, \text{ec}, \text{po}, \text{tpp}, \text{ntpp}, \text{tppi}, \text{ntppi}\}$$

denote the RCC8 relations. Examples of these relations are given in Figure 2. Recall that a topological space is a pair  $\mathfrak{X} = (U, \mathbb{I})$ , where  $U$  is a set and  $\mathbb{I}$  is an

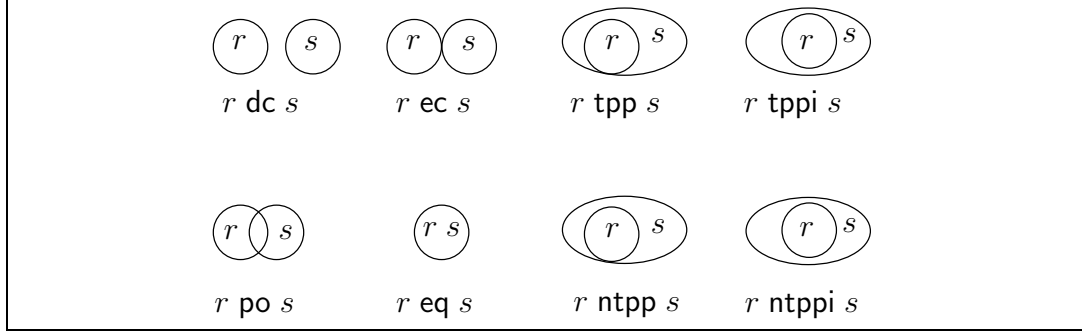


Figure 2: The eight RCC8 relations.

*interior operator* on  $U$ , i.e., for all  $s, t \subseteq U$ , we have

$$\mathbb{I}(U) = U \quad \mathbb{I}(s) \subseteq s \quad \mathbb{I}(s) \cap \mathbb{I}(t) = \mathbb{I}(s \cap t) \quad \mathbb{I}(\mathbb{I}(s)) = \mathbb{I}(s).$$

As the *regions* of a topological space  $\mathfrak{T} = (U, \mathbb{I})$ , we use the set of non-empty, regular closed subsets of  $U$ , where a subset  $s \subseteq U$  is called *regular closed* if  $\mathbb{C}\mathbb{I}(s) = s$ . Given a topological space  $\mathfrak{T}$  and a set of regions  $U_{\mathfrak{T}}$ , we define the extension of the RCC8 relations as the following subsets of  $U_{\mathfrak{T}} \times U_{\mathfrak{T}}$ :

$$\begin{aligned} (s, t) \in \text{dc}^{\mathfrak{T}} & \text{ iff } s \cap t = \emptyset \\ (s, t) \in \text{ec}^{\mathfrak{T}} & \text{ iff } \mathbb{I}(s) \cap \mathbb{I}(t) = \emptyset \wedge s \cap t \neq \emptyset \\ (s, t) \in \text{po}^{\mathfrak{T}} & \text{ iff } \mathbb{I}(s) \cap \mathbb{I}(t) \neq \emptyset \wedge s \setminus t \neq \emptyset \wedge t \setminus s \neq \emptyset \\ (s, t) \in \text{eq}^{\mathfrak{T}} & \text{ iff } s = t \\ (s, t) \in \text{tpp}^{\mathfrak{T}} & \text{ iff } s \cap \bar{t} = \emptyset \wedge s \cap \overline{\mathbb{I}(t)} \neq \emptyset \\ (s, t) \in \text{ntpp}^{\mathfrak{T}} & \text{ iff } s \cap \overline{\mathbb{I}(t)} = \emptyset \\ (s, t) \in \text{tppl}^{\mathfrak{T}} & \text{ iff } (t, s) \in \text{tpp}^{\mathfrak{T}} \\ (s, t) \in \text{ntppi}^{\mathfrak{T}} & \text{ iff } (t, s) \in \text{ntpp}^{\mathfrak{T}}. \end{aligned}$$

Let  $\mathfrak{T}_{\mathbb{R}^2}$  be the standard topology on  $\mathbb{R}^2$  induced by the Euclidean metric, and let  $\mathcal{RS}_{\mathbb{R}^2}$  be the set of all non-empty regular-closed subsets of  $\mathfrak{T}_{\mathbb{R}^2}$ . Intuitively, regular closedness is required to eliminate sub-dimensional regions such as 0-dimensional points and 1-dimensional spikes. We define the constraint system  $\text{RCC8}_{\mathbb{R}^2} = \langle \text{RCC8}, \mathfrak{M}_{\mathbb{R}^2} \rangle$  by setting  $\mathfrak{M}_{\mathbb{R}^2} := \{N_{\mathbb{R}^2}\}$ , where  $N_{\mathbb{R}^2}$  is defined by fixing a variable  $v_s \in \text{Var}$  for every  $s \in \mathcal{RS}_{\mathbb{R}^2}$  and setting

$$N_{\mathbb{R}^2} := \{(v_s \ r \ v_t) \mid r \in \text{RCC8}, s, t \in \mathcal{RS}_{\mathbb{R}^2} \text{ and } (s, t) \in r^{\mathfrak{T}_{\mathbb{R}^2}}\}.$$

## Properties of Constraint Systems

We will use constraint systems as a concrete domain for description logics. To obtain sound and complete reasoning procedures for DLs with such concrete domains, we require constraint system to have certain properties.

**Definition 2 (Patchwork Property, Compactness,  $\omega$ -admissible).** Let  $\mathcal{C} = \langle \text{Rel}, \mathfrak{M} \rangle$  be a constraint system. If  $N$  is a Rel-network and  $V \subseteq V_N$ , we write  $N|_V$  to denote the network  $\{(v r v') \in N \mid v, v' \in V\} \subseteq N$ . We say that

- $\mathcal{C}$  has the *patchwork property* if the following holds: for all finite, complete, and satisfiable Rel-networks  $N, M$  that agree on their (possibly empty) intersection (i.e.  $N_{V_N \cap V_M} = M_{V_N \cap V_M}$ ),  $N \cup M$  is satisfiable;
- $\mathcal{C}$  has the *compactness property* if the following holds: a Rel-network  $N$  with  $V_N$  infinite is satisfiable in  $\mathcal{C}$  if and only if, for every finite  $V \subseteq V_N$ , the network  $N|_V$  is satisfiable in  $\mathcal{C}$ .
- $\mathcal{C}$  is  *$\omega$ -admissible* if satisfiability of Rel-networks in  $\mathcal{C}$  is decidable, and  $\mathcal{C}$  has both the patchwork property and the compactness property.

Intuitively, the patchwork property ensures that satisfiable networks (satisfying some additional conditions) can be “patched” together to a joint network that is also satisfiable. Compactness ensures that this even works when patching together an infinite number of satisfiable networks. Taken together, these properties are similar to the property of constraint systems formulated in [3], where constraint systems are combined with linear temporal logic.

In the technical report [15], we prove the following:

**Theorem 3.**  *$\text{RCC8}_{\mathbb{R}^2}$  and  $\text{Allen}_{\mathbb{Q}}$  are  $\omega$ -admissible.*

The proof of compactness works by devising a satisfiability-preserving translation of constraint networks to sets of first-order formulas, and then appealing to compactness of the latter. In the case of  $\text{Allen}_{\mathbb{Q}}$ , we need first-order logic on structures  $\langle \mathbb{Q}, < \rangle$ , while arbitrary structures are sufficient for  $\text{RCC8}_{\mathbb{R}^2}$ . The proof of the patchwork property is relatively straightforward in the case of  $\text{Allen}_{\mathbb{Q}}$ : given two finite, satisfiable, and complete networks  $N$  and  $M$  that agree on the overlapping part, we show how models of  $N$  and  $M$  can be manipulated into a model of  $N \cup M$ . The proof of the patchwork property of  $\text{RCC8}_{\mathbb{R}^2}$  requires quite some machinery. We consider RCC8-networks interpreted on topologies that are induced by so-called fork frames, and then use the standard translation of RCC8-networks into the model logic **S4** and repeated careful applications of a theorem from [6] to establish the patchwork property. Finally, since satisfiability in  $\text{RCC8}_{\mathbb{R}^2}$  and  $\text{Allen}_{\mathbb{Q}}$  is known to be NP-complete [17, 18], we conclude that these constraint systems are  $\omega$ -admissible.

### 3 Syntax and Semantics

We introduce the description logic  $\mathcal{ALC}(\mathcal{C})$  that allows to define concepts with reference to the constraint system  $\mathcal{C}$ . Different incarnations of  $\mathcal{ALC}(\mathcal{C})$  are obtained by instantiating it with different constraint systems. Let  $\mathcal{C} = \langle \text{Rel}, \mathfrak{M} \rangle$  be

a constraint system, and let  $\mathbf{N}_C$ ,  $\mathbf{N}_R$ , and  $\mathbf{N}_{cF}$  be mutually disjoint and countably infinite sets of *concept names*, *role names*, and *concrete features*. We assume that  $\mathbf{N}_R$  has a countably infinite subset  $\mathbf{N}_{aF}$  of *abstract features*. A *path* is a sequence  $R_1 \cdots R_k g$  consisting of roles  $R_1, \dots, R_k \in \mathbf{N}_R$  and a concrete feature  $g \in \mathbf{N}_{cF}$ . A path  $R_1 \cdots R_k g$  with  $\{R_1, \dots, R_k\} \subseteq \mathbf{N}_{aF}$  is called *feature path*. The set of  $\mathcal{ALC}(\mathcal{C})$ -concepts is built according to the following syntax rule

$$C ::= A \mid \neg C \mid C \sqcap D \mid C \sqcup D \mid \exists R.C \mid \forall R.C \mid \exists U_1, U_2.r \mid \forall U_1, U_2.r$$

where  $A$  ranges over  $\mathbf{N}_C$ ,  $R$  ranges over  $\mathbf{N}_R$ ,  $r$  ranges over  $\text{Rel}$ , and  $U_1, U_2$  are either both feature paths or  $U_1 = Rg_1$  and  $U_2 = g_2$  with  $R \in \mathbf{N}_R$  and  $g_1, g_2 \in \mathbf{N}_{cF}$ . A *general concept inclusion axiom (GCI)* is an expression of the form  $C \sqsubseteq D$ , where  $C$  and  $D$  are concepts. A finite set of GCIs is called *general TBox*.

The semantics of  $\mathcal{ALC}(\mathcal{C})$  is defined in terms of interpretations as usual. To deal with the *constraint constructors*  $\exists U_1, U_2.r$  and  $\forall U_1, U_2.r$ , interpretations comprise a model of  $\mathcal{C}$  as an additional component: an *interpretation*  $\mathcal{I}$  is a tuple  $(\Delta_{\mathcal{I}}, \cdot^{\mathcal{I}}, M_{\mathcal{I}})$ , where  $\Delta_{\mathcal{I}}$  is a set called the *domain*,  $\cdot^{\mathcal{I}}$  is the *interpretation function*, and  $M_{\mathcal{I}} \in \mathfrak{M}$ . The interpretation function maps each concept name  $C$  to a subset  $C^{\mathcal{I}}$  of  $\Delta_{\mathcal{I}}$ , each role name  $R$  to a subset  $R^{\mathcal{I}}$  of  $\Delta_{\mathcal{I}} \times \Delta_{\mathcal{I}}$ , each abstract feature  $f$  to a partial function  $f^{\mathcal{I}}$  from  $\Delta_{\mathcal{I}}$  to  $\Delta_{\mathcal{I}}$ , and each concrete feature  $g$  to a partial function  $g^{\mathcal{I}}$  from  $\Delta_{\mathcal{I}}$  to the set of variables  $V_{M_{\mathcal{I}}}$  of  $M_{\mathcal{I}}$ . The interpretation function is extended to arbitrary concepts in the usual way. We only treat the constraint constructors explicitly:

$$\begin{aligned} (\exists U_1, U_2.r)^{\mathcal{I}} &:= \{d \in \Delta^{\mathcal{I}} \mid \exists x_1 \in U_1^{\mathcal{I}}(d), x_2 \in U_2^{\mathcal{I}}(d) : (x_1 r x_2) \in M_{\mathcal{I}}\} \\ (\forall U_1, U_2.r)^{\mathcal{I}} &:= \{d \in \Delta^{\mathcal{I}} \mid \forall x_1 \in U_1^{\mathcal{I}}(d), x_2 \in U_2^{\mathcal{I}}(d) : (x_1 r x_2) \in M_{\mathcal{I}}\} \end{aligned}$$

where, for every path  $U = R_1 \cdots R_k g$  and  $d \in \Delta_{\mathcal{I}}$ ,  $U^{\mathcal{I}}(d)$  is defined as

$$\begin{aligned} \{x \in V_{M_{\mathcal{I}}} \mid \exists e_1, \dots, e_{k+1} : d = e_1, \\ (e_i, e_{i+1}) \in R_i^{\mathcal{I}} \text{ for } 1 \leq i \leq k, \text{ and } g^{\mathcal{I}}(e_{k+1}) = x\}. \end{aligned}$$

An interpretation  $\mathcal{I}$  is a *model* of a concept  $C$  iff  $C^{\mathcal{I}} \neq \emptyset$ .  $\mathcal{I}$  is a *model* of a TBox  $\mathcal{T}$  iff it satisfies  $C^{\mathcal{I}} \subseteq D^{\mathcal{I}}$  for all GCIs  $C \sqsubseteq D$  in  $\mathcal{T}$ . Finally,  $C$  is called *satisfiable with respect to a TBox*  $\mathcal{T}$  iff there exists a model of  $C$  and  $\mathcal{T}$ .

## 4 Tableau Algorithm

Before presenting the tableau algorithm for  $\mathcal{ALC}(\mathcal{C})$ , we need some prerequisites. In particular, we assume a certain normal form for concepts and TBoxes: negation is only allowed in front of concept names, and the length of paths is restricted.

A concept is said to be in *negation normal form (NNF)* if negation occurs only in front of concept names. NNF can be assumed without loss of generality: for every  $\mathcal{ALC}(\mathcal{C})$ -concept, an equi-satisfiable one in NNF can be computed in linear time. Note that usual NNF transformations are even equivalence-preserving, which cannot be achieved in our case. We assume that the constraint system  $\mathcal{C}$  has an equality predicate “=”, i.e.,  $= \in \text{Rel}$  such that, for all  $M \in \mathfrak{M}$  and  $v \in V_M$ , we have  $(v = v) \in M$ .

**Lemma 4 (NNF Conversion).** *Exhaustive application of the following rewrite rules translates  $\mathcal{ALC}(\mathcal{C})$ -concepts to equi-satisfiable ones in NNF. The number of rule applications is linear in the length of the original concept.*

$$\begin{aligned}
\neg\neg C &\rightsquigarrow C & \neg(C \sqcap D) &\rightsquigarrow \neg C \sqcup \neg D & \neg(C \sqcup D) &\rightsquigarrow \neg C \sqcap \neg D \\
\neg(\exists R.C) &\rightsquigarrow (\forall R.\neg C) & & & \neg(\forall R.C) &\rightsquigarrow (\exists R.\neg C) \\
\neg(\forall U_1, U_2.r) &\rightsquigarrow \bigsqcup_{r' \in \text{Rel}, r' \neq r} \exists U_1, U_2.r' \\
\neg(\exists u_1, u_2.r) &\rightsquigarrow \bigsqcup_{r' \in \text{Rel}, r' \neq r} \forall u_1, u_2.r' & & \text{where } u_1, u_2 \text{ are feature paths} \\
\neg(\exists R g_1, g_2.r) &\rightsquigarrow (\forall R g^*, g_2. =) \sqcap \bigsqcup_{r' \in \text{Rel}, r' \neq r} \forall R.(\forall g_1, g^*.r') \\
&& & \text{where } R \in \mathbf{N}_{\text{R}} \text{ and } g^* \text{ is a fresh concrete feature}
\end{aligned}$$

By  $\text{nnf}(C)$ , we denote the result of converting  $C$  into NNF using the above rules.

Moreover, an  $\mathcal{ALC}(\mathcal{C})$ -concept  $C$  is in *path normal form (PNF)* iff it is in NNF and for all subconcepts  $\exists U_1, U_2.r$  and  $\forall U_1, U_2.r$  of  $C$ , the length of both  $U_1$  and  $U_2$  is at most two, and at least one of them is a concrete feature. An  $\mathcal{ALC}(\mathcal{C})$ -TBox  $\mathcal{T}$  is in path normal form iff all concepts in  $\mathcal{T}$  are in PNF. Path normal form was first considered in [13, 10]. The following lemma shows that we can w.l.o.g. assume  $\mathcal{ALC}(\mathcal{C})$ -concepts and TBoxes to be in PNF.

**Lemma 5.** *Satisfiability of  $\mathcal{ALC}(\mathcal{C})$ -concepts w.r.t. TBoxes can be polynomially reduced to satisfiability of  $\mathcal{ALC}(\mathcal{C})$ -concepts in PNF w.r.t. TBoxes in PNF.*

**Proof.** Let  $C$  be an  $\mathcal{ALC}(\mathcal{C})$ -concept. For every feature path  $u = f_1 \cdots f_n g$  used in  $C$ , we assume that  $[g], [f_n g], \dots, [f_1 \cdots f_n g]$  are concrete features not used in  $C$ . We inductively define a mapping  $\lambda$  from feature paths  $u$  in  $C$  to concepts as follows:

$$\lambda(g) = \top \quad \lambda(fu) = (\exists f[u], [fu]. =) \sqcap \exists f.\lambda(u)$$

For every  $\mathcal{ALC}(\mathcal{C})$ -concept  $C$ , a corresponding concept  $\rho(C)$  is obtained by

- first replacing all subconcepts  $\forall u_1, u_2.r$ , where  $u_i = f_1^{(i)} \cdots f_{k_i}^{(i)} g_i$  for  $i \in \{1, 2\}$ , with

$$\forall f_1^{(1)} \cdots \forall f_{k_1}^{(1)}. \forall g_1, g_1.r^\neq \sqcup \forall f_1^{(2)} \cdots \forall f_{k_2}^{(2)}. \forall g_2, g_2.r^\neq \sqcup \exists u_1, u_2.r$$

where  $r^\neq \in \text{Rel} \setminus \{=\}$  is arbitrary, but fixed;

- and then replacing all subconcepts  $\exists u_1, u_2.r$  with  $\exists[u_1], [u_2].r \sqcap \lambda(u_1) \sqcap \lambda(u_2)$ .

We extend the mapping  $\rho$  to TBoxes in the obvious way: replace each GCI  $C \sqsubseteq D$  with  $\rho(C) \sqsubseteq \rho(D)$ . To convert a concept to PNF, we may first convert to NNF and then apply the above translation  $\rho$ . It is easily verified that (un)satisfiability is preserved, and that the translation can be done in polynomial time.  $\square$

In what follows, we generally assume that all concepts and TBoxes are in path normal form. Moreover, we require that constraint systems are  $\omega$ -admissible (c.f. Definition 2).

Let  $C_0$  be a concept and  $\mathcal{T}$  a TBox such that satisfiability of  $C_0$  w.r.t.  $\mathcal{T}$  is to be decided. We define the set of subconcepts  $\mathbf{sub}(C_0, \mathcal{T}) = \mathbf{sub}(C_0) \cup \mathbf{sub}(C_{\mathcal{T}})$ . The *concept form*  $C_{\mathcal{T}}$  is defined as  $C_{\mathcal{T}} = \bigsqcap_{C \sqsubseteq D \in \mathcal{T}} \text{nnf}(\neg C \sqcup D)$ . We now introduce the data structure underlying the tableau algorithm.

**Definition 6 (Completion system).** Let  $O_a$  and  $O_c$  be disjoint and countably infinite sets of *abstract* and *concrete nodes*. A *completion tree* for  $C_0, \mathcal{T}$  is a finite, labelled tree  $T = (\mathbf{V}_a, \mathbf{V}_c, E, \mathcal{L})$  with nodes  $\mathbf{V}_a \cup \mathbf{V}_c$ , such that  $\mathbf{V}_a \subseteq O_a$ ,  $\mathbf{V}_c \subseteq O_c$ , and all nodes from  $\mathbf{V}_c$  are leaves. The tree is labelled as follows:

1. each node  $a \in \mathbf{V}_a$  is labelled with a subset  $\mathcal{L}(a)$  of  $\mathbf{sub}(C_0, \mathcal{T})$ ,
2. each edge  $(a, b) \in E$  with  $a, b \in \mathbf{V}_a$  is labelled with a role name  $\mathcal{L}(a, b)$  occurring in  $C_0$  or  $\mathcal{T}$ ;
3. each edge  $(a, x) \in E$  with  $a \in \mathbf{V}_a$  and  $x \in \mathbf{V}_c$  is labelled with a concrete feature  $\mathcal{L}(a, x)$  occurring in  $C_0$  or  $\mathcal{T}$ .

A node  $b \in \mathbf{V}_a$  is an  $R$ -successor of a node  $a \in \mathbf{V}_a$  if  $(a, b) \in E$  and  $\mathcal{L}(a, b) = R$ , while an  $x \in \mathbf{V}_c$  is a  $g$ -successor of  $a$  if  $(a, x) \in E$  and  $\mathcal{L}(a, x) = g$ . The notion  $u$ -successor for a path  $u$  is defined in the obvious way. A *completion system* for  $C_0$  and  $\mathcal{T}$  is a tuple  $S = (T, \mathcal{N})$  where  $T = (\mathbf{V}_a, \mathbf{V}_c, E, \mathcal{L})$  is a completion tree for  $C_0$  and  $\mathcal{T}$  and  $\mathcal{N}$  is a Rel-network with  $V_{\mathcal{N}} = \mathbf{V}_c$ .

To decide the satisfiability of  $C_0$  w.r.t.  $\mathcal{T}$  (both in PNF), the tableau algorithm is started with the initial completion system  $S_{C_0} = (T_{C_0}, \emptyset)$ , where  $T_{C_0} = (\{a_0\}, \emptyset, \emptyset, \{a_0 \mapsto \{C_0\}\})$ . The algorithm applies completion rules to the completion system until an obvious inconsistency (clash) is detected or no completion rule is applicable anymore. Before we define the completion rules for  $\mathcal{ALC}(\mathcal{C})$ , we introduce an operation that is used by completion rules to add new nodes to completion trees.

**Definition 7 ( $\oplus$  Operation).** An abstract or concrete node is called *fresh* w.r.t. a completion tree  $T$  if it does not appear in  $T$ . Let  $S = (T, \mathcal{N})$  be a completion system with  $T = (\mathbf{V}_a, \mathbf{V}_c, E, \mathcal{L})$ . We use the following operations:



- $S \oplus aRb$  ( $a \in \mathbf{V}_a$ ,  $b \in \mathbf{O}_a$  fresh in  $T$ ,  $R \in \mathbf{N}_R$ ) yields a completion system obtained from  $S$  in the following way: if  $R \notin \mathbf{N}_{aF}$  or  $R \in \mathbf{N}_{aF}$  and  $a$  has no  $R$ -successors, then add  $b$  to  $\mathbf{V}_a$ ,  $(a, b)$  to  $E$  and set  $\mathcal{L}(a, b) = R$ ,  $\mathcal{L}(b) = \emptyset$ ; if  $R \in \mathbf{N}_{aF}$  and there is a  $c \in \mathbf{V}_a$  such that  $(a, c) \in E$  and  $\mathcal{L}(a, c) = R$  then rename  $c$  in  $T$  with  $b$ .
- $S \oplus agx$  ( $a \in \mathbf{V}_a$ ,  $x \in \mathbf{O}_c$  fresh in  $T$ ,  $g \in \mathbf{N}_{cF}$ ) yields a completion system obtained from  $S$  in the following way: if  $a$  has no  $g$ -successors, then add  $x$  to  $\mathbf{V}_c$ ,  $(a, x)$  to  $E$  and set  $\mathcal{L}(a, x) = g$ ; if  $a$  has a  $g$ -successor  $y$ , then rename  $y$  in  $T$  and  $\mathcal{N}$  with  $x$ .

Let  $u = R_1 \cdots R_n g$  be a path. With  $S \oplus aux$ , where  $a \in \mathbf{V}_a$  and  $x \in \mathbf{O}_c$  is fresh in  $T$ , we denote the completion system obtained from  $S$  by taking distinct nodes  $b_1, \dots, b_n \in \mathbf{O}_a$  which are fresh in  $T$  and setting

$$S' := S \oplus aR_1b_1 \oplus \cdots \oplus b_{n-1}R_nb_n \oplus b_n g x$$

To ensure termination of the tableau algorithm, we need a mechanism for detecting cyclic expansions, commonly called *blocking*. Informally, we detect nodes in the completion tree “similar” to previously created ones and “block” them, i.e., apply no more completion rules to such nodes. To define the blocking condition, we need a couple of notions. For  $a \in \mathbf{V}_a$ , define:

$$\begin{aligned} \mathbf{cs}(a) &:= \{g \in \mathbf{N}_{cF} \mid a \text{ has a } g\text{-successor}\} \\ \mathcal{N}(a) &:= \{(g r g') \mid \text{there are } x, y \in \mathbf{V}_c \text{ such that } x \text{ is a } g\text{-successor of } a, \\ &\quad y \text{ is a } g'\text{-successor if } a, \text{ and } (x r y) \in \mathcal{N}\} \\ \mathcal{N}'(a) &:= \{(x r y) \mid \text{there exist } g, g' \in \mathbf{cs}(a) \text{ s.t. } x \text{ is a } g\text{-successor of } a, \\ &\quad y \text{ is a } g'\text{-successor if } a, \text{ and } (x r y) \in \mathcal{N}\} \end{aligned}$$

A *completion* of a Rel-network  $N$  is a satisfiable and complete Rel-network  $N'$  such that  $V_N = V_{N'}$  and  $N \subseteq N'$ .

**Definition 8 (Blocking).** Let  $S = (T, \mathcal{N})$  be a completion system for a concept  $C_0$  and a TBox  $\mathcal{T}$  with  $T = (\mathbf{V}_a, \mathbf{V}_c, E, \mathcal{L})$ . Let  $a, b \in \mathbf{V}_a$ . We say that  $a \in \mathbf{V}_a$  is *potentially blocked by*  $b$  if  $b$  is an ancestor of  $a$  in  $T$ ,  $\mathcal{L}(a) \subseteq \mathcal{L}(b)$ , and  $\mathbf{cs}(a) = \mathbf{cs}(b)$ . Then,  $a$  is *directly blocked by*  $b$  if  $a$  is potentially blocked by  $b$ ,  $\mathcal{N}(a)$  and  $\mathcal{N}(b)$  are complete, and  $\mathcal{N}(a) = \mathcal{N}(b)$ . Finally,  $a$  is *blocked* if it or one of its ancestors is directly blocked.

We are now ready to define the completion rules, which are given in Figure 3. All rules except *Rnet* and *Rnet'* are rather standard. The purpose of these additional rules is to resolve potential blocking situations into actual blocking situations or non-blocking situations by completing the parts of the network  $\mathcal{N}$  that correspond to the “blocked” and “blocking” node. To ensure an appropriate

$R\sqcap$	if $C_1 \sqcap C_2 \in \mathcal{L}(a)$ , $a$ is not blocked, and $\{C_1, C_2\} \not\subseteq \mathcal{L}(a)$ , then set $\mathcal{L}(a) := \mathcal{L}(a) \cup \{C_1, C_2\}$
$R\sqcup$	if $C_1 \sqcup C_2 \in \mathcal{L}(a)$ , $a$ is not blocked, and $\{C_1, C_2\} \cap \mathcal{L}(a) = \emptyset$ , then set $\mathcal{L}(a) := \mathcal{L}(a) \cup \{C\}$ for some $C \in \{C_1, C_2\}$
$R\exists$	if $\exists R.C \in \mathcal{L}(a)$ , $a$ is not blocked, and there is no $R$ -successor of $a$ such that $C \in \mathcal{L}(b)$ , then set $S := S \oplus aRb$ for a fresh $b \in O_a$ and $\mathcal{L}(b) := \mathcal{L}(b) \cup \{C\}$
$R\forall$	if $\forall R.C \in \mathcal{L}(a)$ , $a$ is not blocked, and $b$ is an $R$ -successor of $a$ such that $C \notin \mathcal{L}(b)$ , then set $\mathcal{L}(b) := \mathcal{L}(b) \cup \{C\}$
$R\exists_c$	if $\exists U_1, U_2.r \in \mathcal{L}(a)$ , $a$ is not blocked, and there exist no $x_1, x_2 \in V_c$ such that $x_i$ is a $U_i$ -successor of $a$ for $i = 1, 2$ and $(x_1 r x_2) \in \mathcal{N}$ then set $S := (S \oplus aU_1x_1 \oplus aU_2x_2)$ with $x_1, x_2 \in O_c$ fresh and $\mathcal{N} := \mathcal{N} \cup \{(x_1 r x_2)\}$
$R\forall_c$	if $\forall U_1, U_2.r \in \mathcal{L}(a)$ , $a$ is not blocked, and there are $x_1, x_2 \in V_c$ such that $x_i$ is a $U_i$ -successor of $a$ for $i = 1, 2$ and $(x_1 r x_2) \notin \mathcal{N}$ , then set $\mathcal{N} := \mathcal{N} \cup \{(x_1 r x_2)\}$
$R\text{net}$	if $a$ is potentially blocked by $b$ and $\mathcal{N}(a)$ is not complete, then non-deterministically guess a completion $\mathcal{N}'$ of $\mathcal{N}(a)$ and set $\mathcal{N} := \mathcal{N} \cup \mathcal{N}'$
$R\text{net}'$	if $a$ is potentially blocked by $b$ and $\mathcal{N}(b)$ is not complete, then non-deterministically guess a completion $\mathcal{N}'$ of $\mathcal{N}(b)$ and set $\mathcal{N} := \mathcal{N} \cup \mathcal{N}'$
$R\text{gci}$	if $C_{\mathcal{T}} \notin \mathcal{L}(a)$ , then set $\mathcal{L}(a) := \mathcal{L}(a) \cup \{C_{\mathcal{T}}\}$

Figure 3: The Completion Rules.

interplay between  $R\text{net}/R\text{net}'$  and the blocking condition, and thus to guarantee termination, we apply these rules with highest precedence.

Note that the blocking mechanism obtained in this way is *dynamic* in the sense that blocking situations can be broken again after they have been established. Also note that the conditions  $\mathcal{L}(a) \subseteq \mathcal{L}(b)$  and  $\text{cs}(a) = \text{cs}(b)$  can be viewed as a refinement of pairwise blocking as known from [9]: due to path normal form, pairwise blocking is a strictly sharper condition than these two.

The algorithm applies completion rules until no more rules are applicable or a clash is encountered.

**Definition 9 (Clash).** Let  $S = (T, \mathcal{N})$  be a completion system for a concept  $C$  and a TBox  $\mathcal{T}$  with  $T = (V_a, V_a, E, \mathcal{L})$ .  $S$  is said to contain a *clash* iff there is an  $a \in V_a$  and an  $A \in \mathbf{N}_{\mathcal{C}}$  such that  $\{A, \neg A\} \subseteq \mathcal{L}(a)$ , or  $\mathcal{N}$  is not satisfiable in  $\mathcal{C}$ .

The tableau algorithm checks for clashes before each rule application returning “unsatisfiable” if a clash is detected. It returns “satisfiable” if it succeeds in finding a clash-free completion system to which no rule is applicable.

Note that checking for clashes before any rule application ensures that  $R\text{net}$  and  $R\text{net}'$  are well-defined: if  $R\text{net}$  is applied, then there indeed exists a completion  $\mathcal{N}'$  of  $\mathcal{N}(a)$  to be guessed: due to clash checking, the network  $\mathcal{N}$  is satisfiable, and it is readily checked that this implies the existence of the re-

quired completion. Moreover, checking if  $\mathcal{N}$  is satisfiable is decidable since  $\mathcal{C}$  is an  $\omega$ -admissible constraint system.

In [15], it is proved that this algorithm terminates on any input, and that it is sound and complete. The  $\omega$ -admissibility of  $\mathcal{C}$  plays a crucial role in the soundness proof. Let  $S = (T, \mathcal{N})$  be a completion system obtained after a successful run of the algorithm for the input  $\mathcal{ALC}(\mathcal{C})$ -concept  $C_0$  and TBox  $\mathcal{T}$ . The abstract and concrete part of a model of  $C_0$  and  $\mathcal{T}$  are built by “patching together” copies of (parts of)  $T$  and  $\mathcal{N}$ , respectively. The patchwork property of  $\mathcal{C}$  ensures that “patching together” two copies of  $\mathcal{N}$  yields a satisfiable network if  $\mathcal{N}$  is satisfiable. Compactness ensures the same for the case of infinitely many copies. The latter is needed since  $\mathcal{ALC}(\mathcal{C})$  lacks finite model property.

**Theorem 10.** *If  $\mathcal{C}$  is an  $\omega$ -admissible constraint system, the tableau algorithm decides satisfiability of  $\mathcal{ALC}(\mathcal{C})$  concepts w.r.t. general TBoxes.*

## 5 Conclusion

We have proved decidability of  $\mathcal{ALC}$  with  $\omega$ -admissible constraint systems and GCIs. Concerning computational complexity, we conjecture that an integration of the techniques from the current paper with those from [13, 10] allows to prove EXPTIME-completeness of satisfiability in  $\mathcal{ALC}(\mathcal{C})$  provided that satisfiability in  $\mathcal{C}$  can be decided in EXPTIME. Various language extensions, both on the logical and concrete side, should also be possible in a straightforward way.

An additional contribution of the current paper is the exhibition of the first tableau algorithm for DLs with concrete domains and GCIs in which the concrete domain constructors are not limited to concrete features. We view this algorithm as a first step towards an implementation, although there is clearly room for improvements: the rules  $R_{\text{net}}$  and  $R_{\text{net}'}$  add considerable non-determinism, clash checking involves the whole network  $\mathcal{N}$  rather than only a local part of it, and blocking can be further refined. We believe that, in general, getting rid of the additional non-determinism introduced by  $R_{\text{net}}$  and  $R_{\text{net}'}$  is difficult. Still, it seems possible to identify restrictions on the number of concrete features and the structures of paths allowed inside the concrete domain constructors that allow for more well-behaved tableau algorithms.

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