

## Utilizing optical sensors from mice for new input devices

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# Utilizing optical sensors from mice for new input devices

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**Abstract.** This paper introduces approaches towards the development of intuitive input devices built with optical sensors from mice. The two main ideas are spatial reconfiguration of the sensor and advanced interpretation of the sensor data. We present new concepts for various input devices that are easy to re-build. A prototype for kinematics manipulation of virtual characters has been constructed and algorithms for data interpretation have been implemented and evaluated.

## 1 Introduction

Optical mice are very popular as desktop input devices and also getting quite inexpensive. Little attention has been paid to the fact that a small digital camera is integrated into the sensor chip. This component allows the construction of many new exciting input devices, due to its compact footprint and integrated digital signal processor. In this paper we provide information about the technical capabilities of these sensors, and give suggestions on how to use and further enrich these capabilities with image processing algorithms. The main goal is to use the simple yet technically advanced sensor in areas where it has not been initially designed for (cp. Figure 1).

In the following section we will present some related work on input devices. The main part describes several technical and algorithmical changes and improvements for optical mouse sensors. Then different areas of application with several devices are motivated. Finally we discuss the results and give a conclusion.



**Fig. 1.** Prototype constructed from parts of a manikin with an optical mouse sensor, used in order to measure joint rotation

## 2 Related work

The description of related work is divided into a brief motivation for specialized input devices and concludes with a compilation of resources on how to build new input devices.

2D or 3D mice are general purpose input devices. Obviously, they are not always the best solution for every multidimensional interaction task. One improvement is to design sophisticated input devices for specific tasks in order to increase efficiency and maximize precision of the interaction. This proposal has been motivated in a design guideline by Paley [Pal98]. Further information on design and construction of new input devices has been described in [FL03,BKLP04].

Generally, there are two different approaches: start from scratch or dismantle and rearrange an existing input device:

- In the first case, one has to start by planning the communication between input device sensors and the computer. Due to this fact, there are virtually no technical limitations to the design of the new device, but electrical engineering skills are required. There are some interface kits that are quite helpful to avoid soldering, but are also limited to certain amount and types of sensors [GF01]. Additionally Ballagas et al. present a framework for the construction of wireless devices [BRSB03].
- In the second approach, an existing input device is reconfigured. This allows for an easier start and often faster construction because the interface and sensors are already given. For example, the SpaceMouse is a popular base for new devices like the Cubic Mouse [FP00].

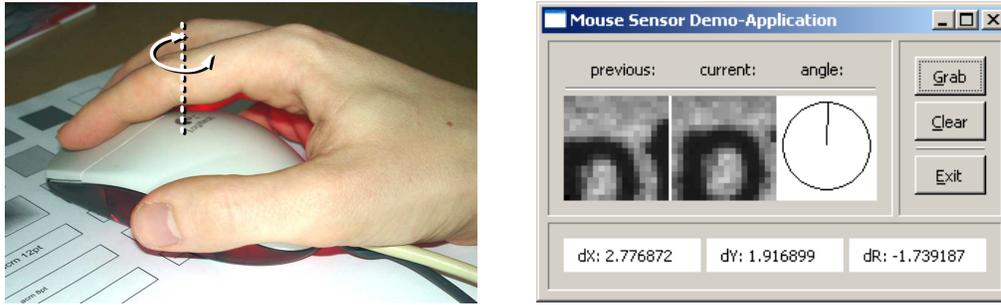
Using a specialized input device instead of an abstract metaphor increases user acceptance in most cases. Thus, we focused our efforts on the research of input devices and tried to further supplement this approach with low-cost electronic components.

## 3 Working with optical sensors

Our key ideas are to utilize electronic components from optical mice, derive additional information from the sensor and furthermore rearrange the sensors in novel spatial compositions. After a brief description of the technical background, the ideas are explained in detail.

### 3.1 Technical background

The sensor of an optical mouse consists of a miniaturized digital camera, which is integrated into a small semiconductor chip (typically with an 8 or 16 pin layout). To digitize an image, the chip first triggers a red LED or in newer devices a laser light that illuminates the surface. Then the reflected light is bundled by a convex lens and exposed to the optical sensor. Consecutive images are by default processed by the integrated digital signal processor in order to compute their relative translation between each other. The resolution of the pictures ranges from  $18 \times 18$  to  $30 \times 30$  pixels with a color depth of 64 grey values. With a recommended distance of 2.4 mm from lens to object surface 400 to 800 dpi are achieved.



**Fig. 2.** Mouse with parallel port interface for image capturing (left); demo application displaying the last and the current frame with rotation results retrieved from image registration (right)

### 3.2 Advanced data interpretation

It is possible to capture the current raw pixel image from the optical sensor. To obtain the image, the pixel values can be read from the chip via its serial data I/O-Port. This allows to use the raw image-stream for further interpretation like pattern recognition, or to calculate the rotation around the normal of the image plane.

In a test-setup we have established communication with a mouse sensor through the parallel port of a computer using two data-bits: a clock and a half-duplex data-line connected to the according pins of the chip (further details can be found, e. g., in [Tec04]). Alternatively, instead of the parallel port a microcontroller can be connected to the chip, which is then able to communicate in the same way.

In our scenario, we capture images and process them in a computer application. For two consecutive images, we obtain the angle of rotation by image registration techniques which are commonly used in image processing (we have used ITK [ISNC05] for this purpose). Thereby we do measure a third degree of freedom (cp. Figure 2), in addition to the two already available translative values that are computed by the internal signal processor of the sensor chip.

### 3.3 Sensor rearrangement

For rapid prototyping of new input devices the chip can be unsoldered and rewired from the mouse to fit into smaller devices. Thus, a flexible and compact (approx.  $10\text{ mm} \times 10\text{ mm} \times 20\text{ mm}$ ) optical unit consisting of the sensor combined with the plastic lens and LED can be focused onto any kind of surface. We have used this technique in order to create our prototypes described in subsequent sections.

### 3.4 Communication Interface

For fast construction of new input devices the interface of the disassembled mouse can be reused to communicate with a computer. Another solution—especially needed for multiple sensors or image capturing—is the utilization of an interface with a microcontroller. We provide a brief description of our own multi-purpose interface design:

- cyclic iteration is triggered by a clock in the controller chip,
- the values of each sensor are singled out by a combination of a binary counter and an array of multiplexer units,
- the resulting raw data is stored in registers of the microcontroller,
- on requests the values are read by the communication controller and sent to the computer.

A corresponding communication protocol has been established and the microcontroller was programmed accordingly. The whole setup has been successfully tested with an ATMEL AT90S8515 microcontroller in combination with Code Mercenaries I/O-warrior as the communication controller. The protocol specifies how to iterate over sensors, encode the data, split it into packets and send these to USB or to a TCP/IP-server (e. g., for a WLAN connection).

#### 4 Image registration results and discussion

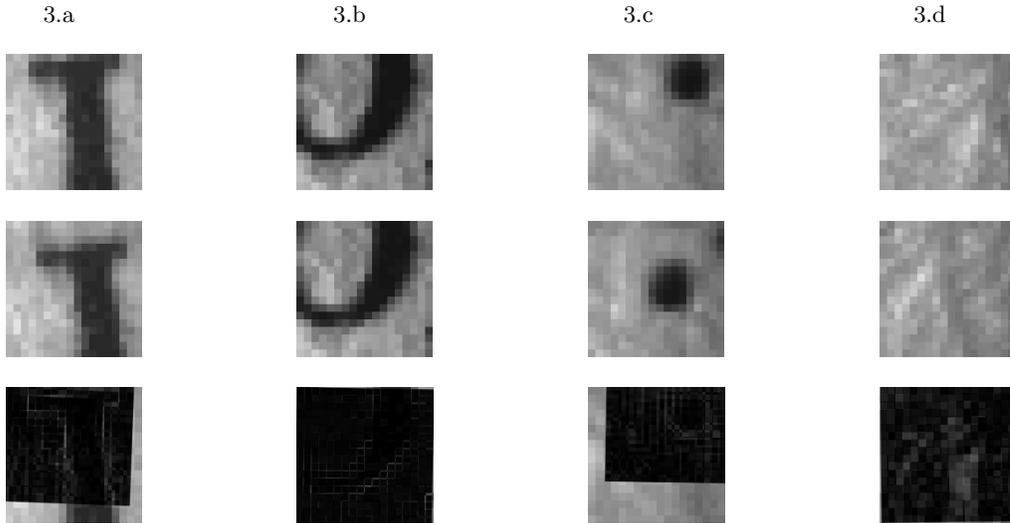
Because of the low resolution from the captured images, we have measured the image registration capabilities. We have conducted tests with pictures grabbed from the mouse sensor (cp. first two rows of Figure 3). In order to evaluate the precision of the image registration results we have created difference images (cp. third row in Figure 3). The inverse transform of the registration results (cp. Table 1) has been applied to the moving image. For better precision of the transformation we have subsampled the images without interpolation (to maintain the original values). Finally, to create the difference image, the transformed image has been subtracted from the reference image. As can be seen in the tested examples the computed rotation matches in the majority of cases.

In general, the registration is highly dependent on the magnitude of the transformation and the similarity of patterns that are present in successive pictures. For this reason we have tested different surfaces on their applicability. Because randomly seeded, recognizable features are best suited, we evaluated the performance of a sheet of paper with tightly printed text. The results can be seen in columns a-c of Figure 3. In the worst case scenario of a blank surface without any features (cp. column d of Figure 3) the image registration algorithm did not yield convincing results.

In order to enhance the initial registration capability, we intend to resolve instability and errors by supplementary parameters for image registration with predicted movement information derived from previous samples. Furthermore, we plan to apply filtering techniques to enhance patterns and remove random noise.

**Table 1.** Results from image registration (cp. Figure 3)

figure	angle	$\delta x$	$\delta y$	iterations
3.a	-2.168°	1.314	2.653	73
3.b	-1.139°	0.082	-0.160	48
3.c	0.898°	-2.368	5.379	80
3.d	-0.593°	-0.173	0.135	10



**Fig. 3.** First and second row: reference images from the mouse sensor; third row: subsampled difference images illustrating the results from image registration (cp. Table 1)

## 5 Devices and applications

There are different fields of application where the reconfigured optical sensor can be utilized. In this section we will show examples for the presented techniques.

### 5.1 Optical Manikin Input device

Whilst in a typical mouse environment the surface is plane, evenly curved surfaces can also be used for special purposes. For such spherical surfaces it is straightforward to register rotations in two degrees of freedom. In order to compute the angular values  $(\alpha_x, \alpha_y)$  in degree, the pixel distance in x- and y-direction are interpreted as two arc angles and normalized by division through the radius  $r$  of the spherical target:

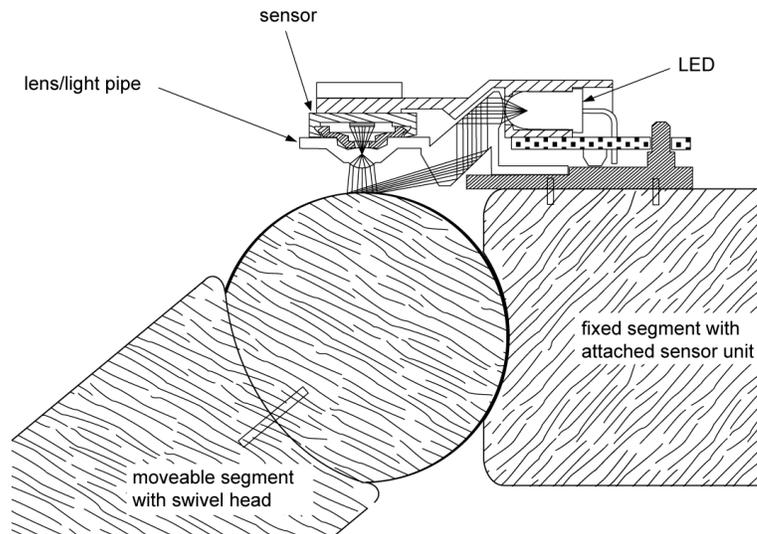
$$\alpha_x = \frac{180}{r \cdot \pi} \cdot \delta x, \quad \alpha_y = \frac{180}{r \cdot \pi} \cdot \delta y$$

The pixel values  $(\delta x, \delta y)$  are calculated by the integrated chip of the sensor and are supplied by the mouse driver software or can optionally also be fetched from the sensor by a microcontroller. This approach allows to reuse and augment an existing interface and interpret the returned values differently.

As a proof of concept the Optical Manikin Input device (O.M.I.) was constructed (cp. Figure 1 and Figure 4). In this setup an optical sensor is tracking limbs from a wooden manikin. The unit is mounted on a fixed adjacent segment and positioned with the lens towards the spherical surface of the movable wooden swivel head (cp. Figure 4). Thereby the sensor measures the joint movement relative to the segment.

### 5.2 Avatar manipulation

Avatars are commonly used for interaction and collaboration in virtual environments. To enrich communication with gestures the avatar should move accordingly. Examples are: pointing to a virtual object of interest, or to give a figurative



**Fig. 4.** Sectional view of an optical mouse sensor [Tec04] attached to a segment and focused on a joint

description. We have used O.M.I. to control one arm. In this case, the resulting rotations derived from the sensors are mapped to the according joints of a virtual character in real-time. To process the data we implemented a test-application using the avatar module VRZula [VKB06] and the API of the interface controller (cp. Figure 5). Furthermore, O.M.I. can also be used for character animation in a similar manner. A 6DOF-sensor of a tracking-system is attached to the pelvis of the manikin, in order to track the global position and orientation. This allows for rapid creation of spatial keyframes.

### 5.3 Desktop mouse with a twist

Another scenario involves our proposed image processing to upgrade a “normal” mouse literally with an additional twist. With such a device objects can be translated either in a 2D- or a 3D-application on a plane as usual and can be additionally rotated around the plane normal by twisting the mouse (cp. Figure 2). This can be used for docking- and aligning-tasks.



**Fig. 5.** Example of using O.M.I. (left) to bend the arm of a virtual character (middle and right)

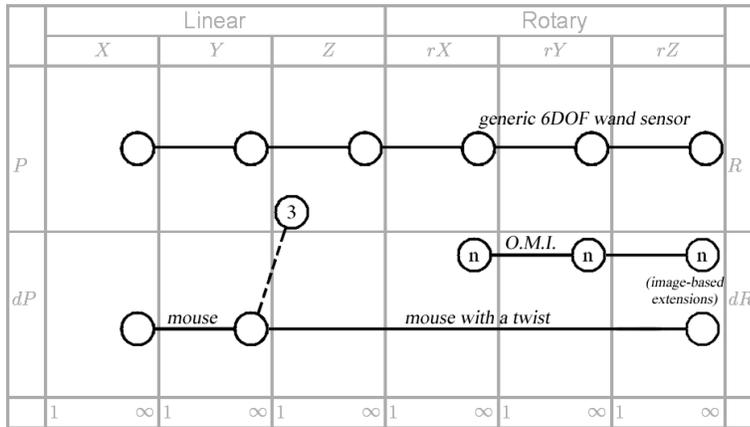


Fig. 6. Classification in the design space [CMR91]

## 6 Classification

In order to compare our prototype O.M.I. and the proposed mouse with a twist with other more generic input devices like a desktop mouse or a tracked 6DOF wand, we have chosen a taxonomy with physical, mechanical and spatial attributes by Card et al. [CMR91]. The resulting classification can be seen in Figure 6. A mouse differs from our O.M.I. device in the design space significantly, although they are based on the same sensor and interface. Also, the proposed augmented mouse with twist-detection clearly does not replace a 6DOF wand, but still allows for new interaction possibilities.

## 7 Conclusion and future work

In this paper we have described the utilization of optical sensors from mice. As a proof of concept for rapid prototyping and spatial reconfiguration an input device for avatar manipulation has been constructed. Image registration algorithms have been successfully tested with raw images captured in real-time from a mouse sensor. Further devices—based on the proposed alternate usage of sensors from existing hardware—have been motivated for other application areas.

We intend to continually improve the robustness of the image registration by implementing a runtime error-estimation and also experiment with different starting parameters. It is planned to evaluate the presented devices for user acceptance with the tasks motivated in the applications section. The studies for avatar manipulation will be conducted in large immersive environments and compared with other interaction metaphors like inverse kinematics or real-time motion capture mapping.

## 8 Acknowledgments

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